



PATENT  
Customer No. 22,852  
Attorney Docket No. 06502.0267

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Adrian E. COLLEY et al. ) Group Art Unit: 2122  
)  
Application No.: 09/753,686 ) Examiner: Not Yet Assigned  
)  
Filed: January 4, 2001 )  
)  
For: METHOD AND SYSTEM FOR )  
PASSING OBJECTS IN A )  
DISTRIBUTED SYSTEM USING )  
SERIALIZATION CONTEXTS )

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Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants

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reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

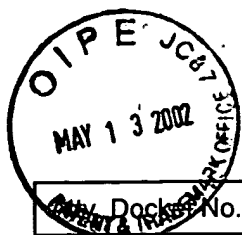
Dated: May 13, 2002

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## INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

Doc. No.	06502.0267	Appln. No.	09/753,686
Applicant	Adrian E. COLLEY et al.		
Filing Date	January 4, 2001	Group:	2122

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,675,797	10/7/1997	Chung et al.			
	6,263,379	7/17/2001	Atkinson et al.			

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## FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Hodges, D., "Managing Object Lifetimes in OLE", pp. 1-41.

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce

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